

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Yasukata DEKISHIMA et al.

Confirmation No.: 6444

Appln. No. : 10/588,286
(National Stage of PCT/JP2005/002093)

**Attention: OIPE, Customer
Service Center**

I.A. Filed : February 4, 2005

For : METHOD FOR PRODUCING ALCOHOL AND CARBOXYLIC ACID
HAVING OPTICAL ACTIVITY

REQUEST FOR CORRECTION OF OFFICAL FILING RECEIPT

Commissioner for Patents
U.S. Patent and Trademark Office
Customer Service Window, Mail Stop Missing Parts
Randolph Building
401 Dulany Street
Alexandria, VA 22314

Sir:

The second priority number and filing date of the above-identified application, as it appears on the Official Filing Receipt, is incorrect. The second priority number and filing date should read ---**2004-147023; April 13, 2004 (04/13/2004)** ---, and not 004-047023; March 14, 2004 (03/14/2004)".

Also, the second inventor's residence for Hiroshi Kawabata of the above-identified application, as it appears on the Official Filing Receipt, is incorrect. The second's inventor's residence for Hiroshi Kawabata should read --- **Kanagawa** ---, and not Kawabata.

Please find enclosed herewith a copy of our date-stamped mailroom filing receipt evidencing the filing of the above-identified application on July 9, 2007, as well as a copy of the postcard received from the U.S. Patent and Trademark Office having the bar coded sticker with

the application number and showing the correct filing date of July 9, 2007. Also attached, please find a copy of the incorrect Official Filing Receipt with the requested changes noted thereon.

Please correct the priority number and filing date of the above-identified application to read as follows:

2004-147023; April 13, 2004 (04/13/2004)

instead of:

004-047023; March 14, 2004 (03/14/2004)

Also, please correct the second inventor's residence for Hiroshi Kawabata of the above-identified application to read as follows:

Kanagawa


instead of:

Kawabata

and forward a corrected copy of the Official Filing Receipt to the undersigned.

Should the Examiner have any questions, the Examiner is invited to contact the undersigned at the below-listed telephone number.

Respectfully submitted,
Yasukata DEKISHIMA


Bruce H. Bernstein
Reg. No. 29,027

July 2, 2008
GREENBLUM & BERNSTEIN, P.L.C.
1950 Roland Clarke Place
Reston, VA 20191
(703) 716-1191

Stephen M. Roylance
Reg. No. 31,296

Stephen M. Roylance
Reg. No. 31,296



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NUMBER	FILING or 371(c) DATE	GR PAT UNIT	FIL FEE REC'D	ATTY DOCKET NO	TOT CLAIMS	IND CLAIMS
10/588,286	07/09/2007	1632	2430	P30416	19	10

CONFIRMATION NO. 6444

FILING RECEIPT



0000000030492208

RECEIVED

JUN 19 2008

Date Mailed: 06/19/2008

GREENBLUM & BERNSTEIN PLC

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please submit a written request for a Filing Receipt Correction. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections

Applicant(s)

Yasumasa Dekishima, Kanagawa, JAPAN;
Hiroshi Kawabata, ~~Kawabata~~ JAPAN; → Kanagawa
Hirotoshi Hiraoka, Tokyo, JAPAN;
Makoto Ueda, Kanagawa, JAPAN;
Hisatoshi Uehara, Kanagawa, JAPAN;

Assignment For Published Patent Application

API Corporation, Osaka, JAPAN

Power of Attorney: The patent practitioners associated with Customer Number 7055

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP05/02093 02/04/2005

Foreign Applications

JAPAN 2004-027815 02/04/2004

JAPAN 2004-047023 03/14/2004

2004-147023 04/13/2004

If Required, Foreign Filing License Granted: 06/16/2008

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is US 10/588,286

Projected Publication Date: 09/25/2008

Non-Publication Request: No

Early Publication Request: No

Title

Method For Producing Alcohol and Carboxylic Acid Having Optical Activity

Preliminary Class

435

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

LICENSE FOR FOREIGN FILING UNDER

Title 35, United States Code, Section 184

Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as

set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).